

# Mixing Time for Interface Models and Particle System

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## This thesis is based on the following works:

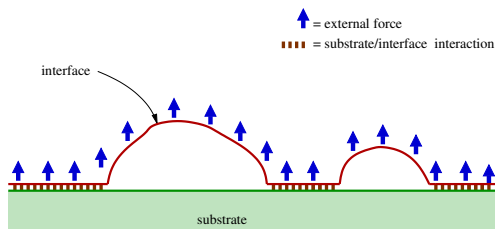
- **S. Y.**, Cutoff for polymer pinning dynamics in the repulsive phase  
Arxiv:1909.04635 to appear in AIHP Probabilités et Statistiques.
- **H. Lacoïn, S. Y.**, Metastability for expanding bubbles on a sticky substrate  
Arxiv:2007.07832 submitted.
- **H. Lacoïn, S. Y.**, Mixing time of the asymmetric simple exclusion process in a random environment  
Arxiv:2102.02606 submitted.

# Organization of the talk

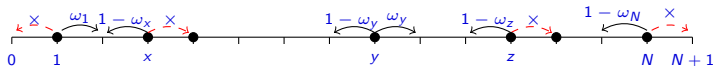
## 1. Introduction to mixing for continuous-time Markov chains

- Starting from 1980s
- Aldous, Diaconis, etc.

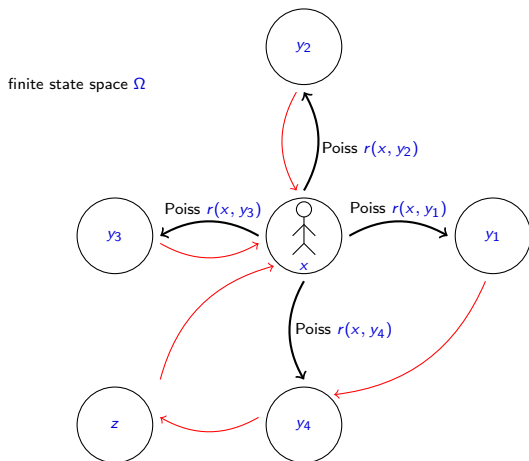
## 2. Mixing time for an interface model



## 3. Mixing time for ASEP in a random environment



## Introduction to mixing for continuous-time Markov chains



# Setup

- Finite state space  $\Omega$ , elements  $x, y, z \dots$
- Generator:  $\mathcal{L} = (r(x, y))_{x, y \in \Omega}$  is an  $\Omega \times \Omega$  matrix:
  - ▶ Off diagonal elements are nonnegative;
  - ▶ Every row sum is equal to zero.

Homeomorphism  $\mathcal{L} : \mathbb{R}^\Omega \rightarrow \mathbb{R}^\Omega$  (for  $f \in \mathbb{R}^\Omega$ )

$$(\mathcal{L}f)(x) := \sum_{y \in \Omega} r(x, y) (f(y) - f(x)).$$

- Markov semi-group  $(P_t)_{t \geq 0}$ :

$$P_t := e^{t\mathcal{L}} = \sum_{k=0}^{\infty} \frac{(t\mathcal{L})^k}{k!},$$

$$P_t(x, y) \geq 0, \quad \sum_{y \in \Omega} P_t(x, y) = 1.$$

# Markov chain definition

The random process  $(X_t)_{t \geq 0}$  is a continuous-time Markov chain with generator  $\mathcal{L}$  and initial distribution  $\nu$  if it is càdlàg and

- $$\forall x \in \Omega, \quad \mathbb{P}[X_0 = x] = \nu(x);$$
- Markov property: for  $0 \leq t_1 < \dots < t_n < s < s + t$ ,

$$\mathbb{P}[X_{s+t} = y | X_s = x; X_{t_k} = z_k, \forall k \leq n] = \mathbb{P}[X_{s+t} = y | X_s = x] = P_t(x, y).$$

# Invariant probability measure

- $\mu$  is an invariant probability measure if

$$(\forall t \geq 0, \mu P_t = \mu) \Leftrightarrow \mu \mathcal{L} = 0.$$

- Irreducible: for all  $x \neq y \in \Omega$ , there exists a path  $\Gamma_{xy} = (x, z_1, \dots, z_{\ell-1}, y)$  with  $r(z_{k-1}, z_k) > 0$  for all  $1 \leq k \leq \ell(x, y)$ .

## Theorem

*If  $(\Omega, \mathcal{L})$  is irreducible, there exists a unique invariant probability measure  $\mu$ , and the distribution  $\mathbb{P}^\nu$  of  $(X_t)_{t \geq 0}$  with initial distribution  $\nu$  converges to  $\mu$ , i.e.*

$$\lim_{t \rightarrow \infty} \sum_{y \in \Omega} \left| \mathbb{P}^\nu [X_t = y] - \mu(y) \right| = 0.$$

# Distance to equilibrium

- The total variation distance: two probability measures  $\alpha, \beta$  on  $\Omega$ ,

$$\|\alpha - \beta\|_{\text{TV}} := \sup_{A \subset \Omega} |\alpha(A) - \beta(A)|.$$

- The distance to equilibrium

$$d(t) := \max_{x \in \Omega} \|P_t(x, \cdot) - \mu\|_{\text{TV}}.$$

- Given  $\varepsilon \in (0, 1)$ , the  $\varepsilon$ -mixing time

$$t_{\text{mix}}(\varepsilon) := \inf \{t \geq 0 : d(t) \leq \varepsilon\}.$$

Notation:  $t_{\text{mix}} := t_{\text{mix}}(1/4)$ .



# Markov chain sequence and cutoff

- A sequence of Markov chains  $(\Omega_n, \mathcal{L}_n, \mu_n)_{n \in \mathbb{N}}$  with  $\lim_{n \rightarrow \infty} |\Omega_n| = \infty$ :

$t_{\text{mix}}^{(n)}(\varepsilon)$ : the associated  $\varepsilon$ -mixing time.

**Q: How does  $t_{\text{mix}}^{(n)}(\varepsilon)$  grow in terms of  $n$  and  $\varepsilon$ ?**

- Precutoff:

$$\sup_{\varepsilon \in (0, \frac{1}{2})} \limsup_{n \rightarrow \infty} \frac{t_{\text{mix}}^{(n)}(\varepsilon)}{t_{\text{mix}}^{(n)}(1 - \varepsilon)} < \infty.$$

- Cutoff: for all  $\varepsilon \in (0, 1)$ ,

$$\lim_{n \rightarrow \infty} \frac{t_{\text{mix}}^{(n)}(\varepsilon)}{t_{\text{mix}}^{(n)}(1 - \varepsilon)} = 1. \quad \Leftrightarrow \quad \lim_{n \rightarrow \infty} d_n \left( c t_{\text{mix}}^{(n)} \right) = \begin{cases} 1 & \text{if } c < 1, \\ 0 & \text{if } c > 1. \end{cases}$$

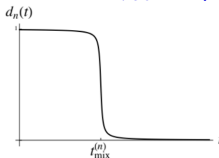


image from Levin and Peres

## Spectral gap of reversible chain

- The detailed balance condition: if for all  $x, y \in \Omega$

$$\mu(x)r(x, y) = \mu(y)r(y, x). \quad \text{Then } \mu\mathcal{L} = 0.$$

- Spectral gap: minimal nonzero eigenvalue of  $-\mathcal{L}$

$$\langle f, g \rangle_\mu := \sum_{x \in \Omega} \mu(x) f(x) g(x), \quad \text{Var}_\mu(f) := \langle f, f \rangle_\mu - \langle f, \mathbf{1} \rangle_\mu^2,$$

$$\text{gap} := \inf_{\text{Var}_\mu(f) > 0} \frac{-\langle f, \mathcal{L}f \rangle_\mu}{\text{Var}_\mu(f)}.$$

- Relaxation time:  $t_{\text{rel}} := \frac{1}{\text{gap}}$ .

Letting  $\mu_{\min} := \min_{x \in \Omega} \mu(x)$ , for  $\varepsilon \in (0, 1)$  we have

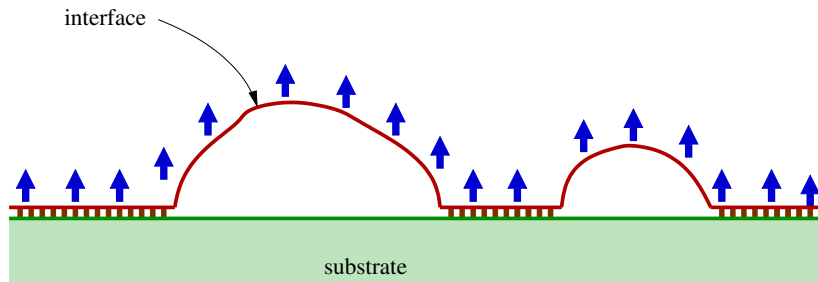
$$t_{\text{rel}} \log \frac{1}{2\varepsilon} \leq t_{\text{mix}}(\varepsilon) \leq t_{\text{rel}} \log \frac{1}{2\varepsilon \mu_{\min}},$$

$$\lim_{t \rightarrow \infty} \frac{1}{t} \log d(t) = -\text{gap}.$$

# Mixing time for an interface model

# The physical situation we are considering

↑ = external force  
▣▣▣ = substrate/interface interaction



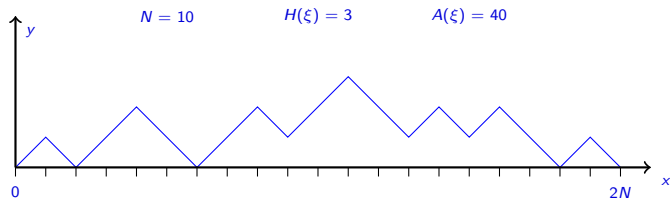
An interface is an element of

$$\Omega_N := \left\{ \xi \in \mathbb{Z}_+^{[0,2N]} : \xi(0) = \xi(2N) = 0 \text{ and } \forall x, |\xi(x) - \xi(x-1)| = 1 \right\}.$$

# The equilibrium measure

Given  $\xi \in \Omega_N$ ,

- $H(\xi) := \sum_{x=1}^{2N-1} \mathbf{1}_{\{\xi(x)=0\}}$  (# contacts with  $x$ -axis),
- $A(\xi) := \sum_{x=1}^{2N-1} \xi(x)$ : the area enclosed between  $\xi$  and the  $x$ -axis.



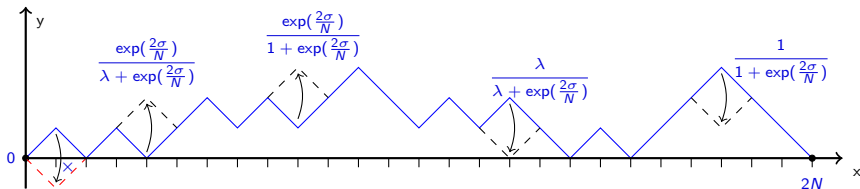
Given  $\lambda \geq 0$  and  $\sigma \geq 0$ , define  $\mu = \mu_N^{\lambda, \sigma}$  the probability on  $\Omega_N$ :

$$\mu(\xi) = \frac{2^{-2N} \lambda^{H(\xi)} e^{\frac{\sigma}{N} A(\xi)}}{Z_N(\lambda, \sigma)} \quad ; \quad Z_N(\lambda, \sigma) := 2^{-2N} \sum_{\xi \in \Omega_N} \lambda^{H(\xi)} e^{\frac{\sigma}{N} A(\xi)}.$$

# Corner-flip/Heat Bath dynamics $(\eta_t)_{t \geq 0}$ on $\Omega_N$

Each coordinate is updated at rate one.

When an update at  $x$  occurs at time  $t$ ,  $\eta_t$  is sampled according to the conditional equilibrium measure  $\mu_N^{\lambda, \sigma}(\cdot \mid \eta_{t-}(y), y \neq x)$ .



The measure  $\mu$  satisfies the detailed balance condition, i.e.

$$\mu(\xi)r(\xi, \xi^x) = \mu(\xi^x)r(\xi^x, \xi).$$

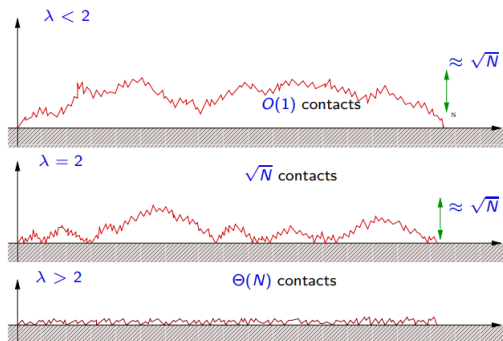
$\mathbf{P}^\xi$ : the distribution of the Markov chain  $(\eta_t)_{t \geq 0}$  starting from  $\xi$ .

$T_N^{\lambda, \sigma}(\varepsilon)$ : associated  $\varepsilon$ -mixing time.

# Presentation of our results for the interface model

- (1) Properties of the model at equilibrium
- (2) Cutoff when  $\sigma = 0$  (Chapter 2)
- (3) Slow/fast mixing and metastability (Chapter 3)

# Equilibrium for $\sigma = 0$ [Fisher 1984]



If  $\sigma = 0$ , the system undergoes a transition at  $\lambda = 2$  between a pinned phase and an unpinned phase. This transition can be seen when looking at the free energy

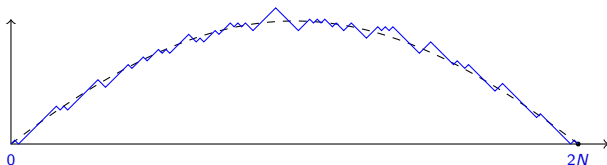
$$\lim_{N \rightarrow \infty} \frac{1}{2N} \log Z_N(\lambda, 0) = \log \left( \frac{\lambda}{2\sqrt{\lambda-1}} \right) \mathbf{1}_{\{\lambda > 2\}} =: F(\lambda).$$



## Absence of wall constraint / WASEP interfaces [Labbé '18]

If there is no wall constraint ( $\xi(x) < 0$  is allowed) and  $\lambda = 1$ , we have typically under the equilibrium measure ( $u \in [0, 2]$ )

$$\frac{\xi(\lceil uN \rceil)}{N} = \frac{1}{\sigma} \log \left( \frac{\cosh(\sigma)}{\cosh(\sigma(1-u))} \right) + o(1).$$



If  $\tilde{Z}_N(\sigma) := \frac{1}{2^{2N}} \sum_{\xi \in \tilde{\Omega}_N} e^{\frac{\sigma}{N} A(\xi)}$  denotes the corresponding partition function, we have

$$\lim_{N \rightarrow \infty} \frac{1}{2N} \log \tilde{Z}_N(\sigma) = G(\sigma) := \int_0^1 \log \cosh(\sigma(1-2u)) du.$$

## Equilibrium behavior

The two strategies to take benefit of the wall interaction and of the external force are different and cannot be combined.

Proposition (Lacoin, Y. '20)

We have for any  $\lambda \in (0, \infty)$  and  $\sigma > 0$

$$\lim_{N \rightarrow \infty} \frac{1}{2N} \log Z_N(\lambda, \sigma) = F(\lambda) \vee G(\sigma).$$

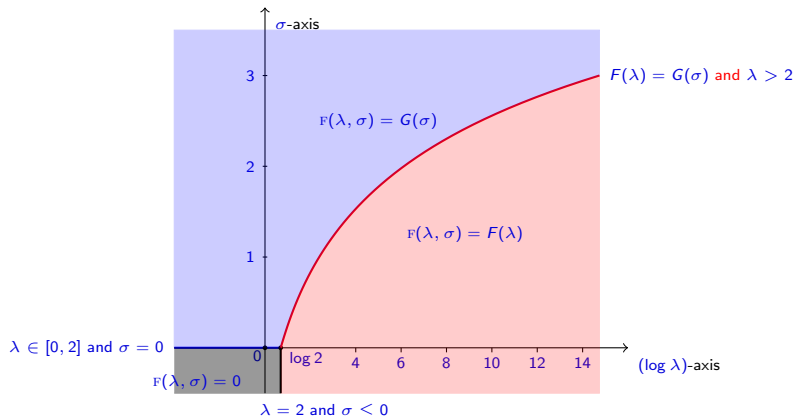
(A) If  $G(\sigma) > F(\lambda)$ , then  $Z_N(\lambda, \sigma) \asymp \frac{1}{\sqrt{N}} e^{2NG(\sigma)}$ .

(B) If  $F(\lambda) \geq G(\sigma)$ , then  $Z_N(\lambda, \sigma) \asymp e^{2NF(\lambda)}$ .

From this result we derive the detailed behavior of the paths.

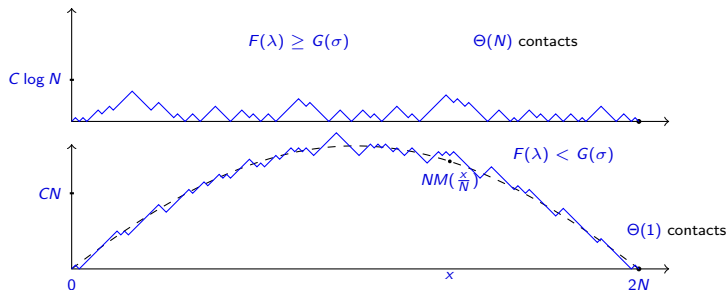
# Free energy

$$F(\lambda, \sigma) := \lim_{N \rightarrow \infty} \frac{1}{2N} \log Z_N(\lambda, \sigma).$$



# Theorem: macroscopic shape

$$M_\sigma(u) = \frac{1}{\sigma} \log \left( \frac{\cosh(\sigma)}{\cosh(\sigma(1-u))} \right).$$



# Dynamical polymer pinning model/WASEP

The problem of mixing time for interface with pinning or WASEP has been studied in previous works.

- When  $\sigma = 0$ , the mixing time is at most of order  $N^2 \log N$  [Caputo, Martinelli, Toninelli '08]:

$$\text{e.g. } T_N^{\lambda,0} \asymp N^2 \log N, \text{ and gap} \asymp N^{-2} \text{ for } \lambda \in [0, 2).$$

- Without wall and pinning, [Levin, Peres '16] [Labbé, Lacoïn '20]

$$\forall \varepsilon \in (0, 1), \quad T_N^\sigma(\varepsilon) \asymp N^2 \log N.$$

## Our main result: cutoff (Chapter 2)

Understand the pattern of relaxation to equilibrium, and in particular identify the mixing time.

$$T_N^{\lambda, \sigma}(\varepsilon) := \inf\{t : \forall \xi \in \Omega_N, \|\mathbf{P}^\xi - \mu\|_{\text{TV}} \leq \varepsilon\}.$$

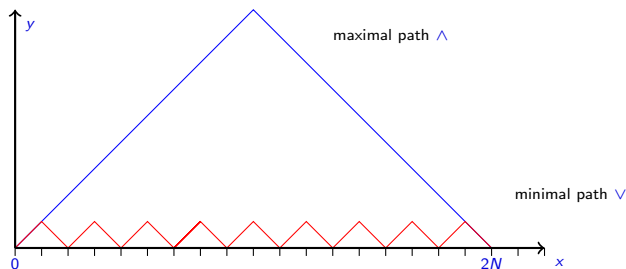
Theorem (Y, '19 (cutoff))

When  $\sigma = 0$  and  $\lambda \in [0, 1]$ , for all  $\varepsilon \in (0, 1)$  we have

$$\lim_{N \rightarrow \infty} \frac{\pi^2 T_N^{\lambda, 0}(\varepsilon)}{4N^2 \log N} = 1.$$

## Our main result: partial cutoff (Chapter 2)

$$\check{T}_N^{\lambda, \sigma}(\varepsilon) := \inf\{t : \max(\|\mathbf{P}^\wedge - \mu\|_{\text{TV}}, \|\mathbf{P}^\vee - \mu\|_{\text{TV}}) \leq \varepsilon\}.$$



Theorem (Y, '19 (Partial cutoff))

When  $\sigma = 0$  and  $\lambda \in (1, 2)$ , for all  $\varepsilon \in (0, 1)$  we have

$$\lim_{N \rightarrow \infty} \frac{\pi^2 \check{T}_N^{\lambda, 0}(\varepsilon)}{4N^2 \log N} = 1.$$

## Our main result: $\lambda > 2$ and $\sigma \geq 0$ (Chapter 3)

Theorem (Lacoin, Y. '20)

When  $\lambda > 2$  and  $\sigma \geq 0$ , then there exists  $\sigma_c(\lambda) > 0$  such that

$$\begin{cases} T_N^{\lambda, \sigma} \leq N^C & \text{if } \sigma \leq \sigma_c(\lambda), \\ T_N^{\lambda, \sigma} = e^{2NE(\lambda, \sigma)} N^{O(1)} & \text{if } \sigma > \sigma_c(\lambda), \end{cases}$$

where  $\sigma_c(\lambda)$  and  $E(\lambda, \sigma) > 0$  are explicit.

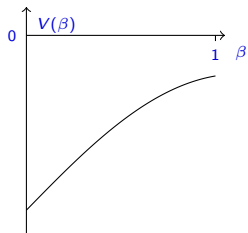
We believe when  $\lambda \in [0, 2]$  and  $\sigma \geq 0$ , there exists some constant  $C$

$$T_N^{\lambda, \sigma} \leq N^C.$$

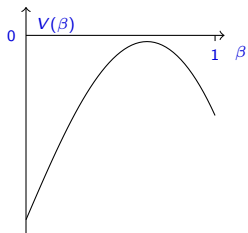


## Heuristic for $\lambda > 2$ and $\sigma \geq 0$

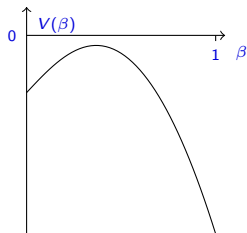
$\beta$ : fraction of the largest excursion      $V(\beta) := -(1 - \beta)F(\lambda) - \beta G(\beta\sigma)$   
(paths with only one large excursion of size  $2\beta N$ :  $e^{-2NV(\beta)}$ .)



(A)



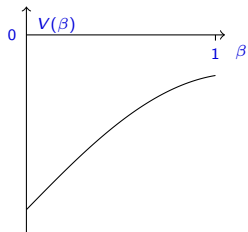
(B)



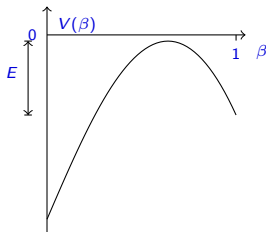
(C)

- (A) If  $G(\sigma) + \sigma G'(\sigma) \leq F(\lambda)$ , then the pinned region can grow without obstruction and the system should mix in polynomial time.
- (B) If  $G(\sigma) \leq F(\lambda) < G(\sigma) + \sigma G'(\sigma)$ , then the system starting from the fully unpinned state takes a long time to reach the fully pinned equilibrium state.
- (C) If  $F(\lambda) < G(\sigma)$ , then the system starting from the fully pinned state takes a long time to reach the fully unpinned equilibrium state.

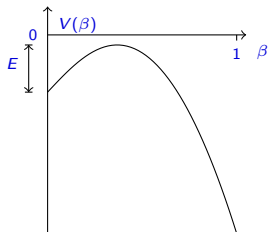
$$V(\beta) = -(1 - \beta)F(\lambda) - \beta G(\beta\sigma)$$



(A)



(B)



(C)

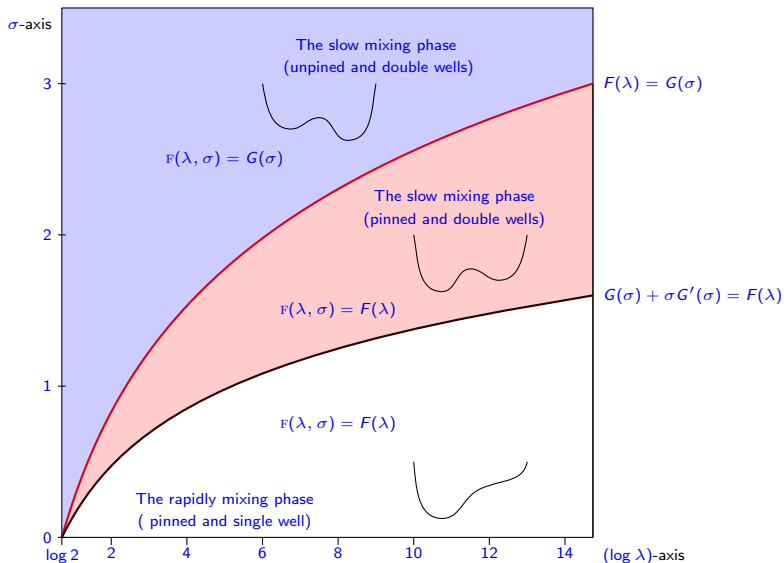
## Activation Energy

The size of the effective potential barrier to be overcome in case (B) and (C) is equal to

$$E(\lambda, \sigma) := F(\lambda) \wedge G(\sigma) - [(1 - \beta^*)F(\lambda) + \beta^* G(\beta^* \sigma)]$$

with  $\beta^*$  such that  $V(\beta^*) = \max_{\beta \in [0,1]} V(\beta)$ .

# Our result: phase diagram (for $\lambda > 2$ and $\sigma \geq 0$ )



# Metastability

Assuming  $E(\lambda, \sigma) > 0$ , let  $\mathcal{H}_N$  denote the domain of attraction of the unstable local equilibrium of the dynamics:

$$\mathcal{H}_N := \begin{cases} \{\xi \in \Omega_N : L_{\max}(\xi) > \beta^* N\} & \text{if } G(\sigma) \leq F(\lambda) < G(\sigma) + \sigma G'(\sigma), \\ \{\xi \in \Omega_N : L_{\max}(\xi) \leq \beta^* N\} & \text{if } F(\lambda) < G(\sigma), \end{cases}$$

where

$$L_{\max}(\xi) := \max\{y - x : \xi_{2x} = 0, \xi_{2y} = 0, \forall z \in \llbracket x, y \rrbracket, \xi_{2z} > 0\}.$$

Theorem (Lacoin, Y. '20)

We have

$$\lim_{N \rightarrow \infty} \mathbb{P}_{\mu_N(\cdot | \mathcal{H}_N)} \left( \eta_{t T_{\text{rel}}^N(\lambda, \sigma)} \in \mathcal{H}_N \right) = \exp(-t),$$

where  $T_{\text{rel}}^N(\lambda, \sigma) = e^{2NE(\lambda, \sigma)} N^{O(1)}$  is the relaxation time of the system.

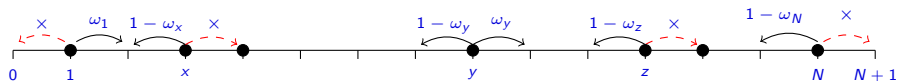
# Proof ingredients

- Lower bound on mixing time follows directly from the heuristics using bottleneck arguments.
- For the upper bound, the hard part is to show that the system always mixes fast within  $\mathcal{H}_N$  and  $\mathcal{H}_N^C$ . The proof is intricate and relies on chain decomposition argument [Jerrum *et al.* '04].
- Once fast mixing in each potential well is proved, the metastability statement follows from a general meta-theorem [Beltran and Landim '15].

# Mixing time of ASEP in a random environment

# Setup

Given  $\omega = (\omega_x)_x$  with values in  $(0, 1)$ , the exclusion process with  $k$  particles in  $\llbracket 1, N \rrbracket$  with environment  $\omega$  is a Markov chain:



- (A) Each site is occupied by at most one particle (*the exclusion rule*).
- (B) Each of the  $k$  particles performs a random walk such that a particle at site  $x \in \llbracket 1, N \rrbracket$

$$\begin{cases} \text{jumps to site } x + 1 \text{ at rate } \omega_x & \text{for } x \leq N - 1, \\ \text{jumps to site } x - 1 \text{ at rate } 1 - \omega_x & \text{for } x \geq 2, \end{cases}$$

if the target site is not occupied.

# Assumptions

- $\omega = (\omega_x)_x$  is IID ( law:  $\mathbb{P}$ , expectation:  $\mathbb{E}$ ).

- Assume

$$\mathbb{E} \left[ \log \frac{1 - \omega_1}{\omega_1} \right] < 0,$$

so that the random walk on  $\mathbb{Z}$  is transient to the right.

- Uniform ellipticity condition:  $\exists \alpha \in (0, 1/2)$  such that

$$\mathbb{P}(\omega_1 \in [\alpha, 1 - \alpha]) = 1.$$

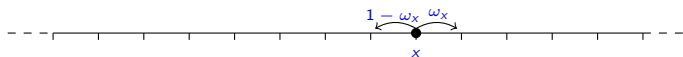
**Q:** How does the mixing time  $t_{\text{mix}}^{N,k,\omega}$  grow in terms of  $N$  and  $k$  for typical realization of  $\omega$ ?



# Presentation of our result: ASEP in random environment

- (1) Related results (RWRE, SEP with  $\omega \equiv p$  or  $\omega = (\omega_x)_x$  IID).
- (2) Our result:  $t_{\text{mix}}^{N,k,\omega}$  grows like a power of  $N$ .
- (3) Heuristic for our result: three mechanisms.

## Related result: random walk in random environment on $\mathbb{Z}$



Given  $(\omega_x)_x$ ,  $(X_t)_{t \geq 0}$ : a continuous-time random walk on  $\mathbb{Z}$  starting at 0. [Solomon '75] showed that

$$\begin{cases} \mathbb{E}[\log \frac{1-\omega_1}{\omega_1}] = 0 \Rightarrow (X_t)_{t \geq 0} \text{ is recurrent,} \\ \mathbb{E}[\log \frac{1-\omega_1}{\omega_1}] < 0 \Rightarrow \lim_{t \rightarrow \infty} X_t = \infty, \\ \mathbb{E}[\log \frac{1-\omega_1}{\omega_1}] > 0 \Rightarrow \lim_{t \rightarrow \infty} X_t = -\infty. \end{cases}$$

Assuming  $\mathbb{E}[\log \frac{1-\omega_1}{\omega_1}] < 0$ , and set

$$\lambda = \lambda_{\mathbb{P}} := \inf \left\{ s > 0, \mathbb{E} \left[ \left( \frac{1-\omega_1}{\omega_1} \right)^s \right] \geq 1 \right\} \in (0, \infty].$$

[Kesten, Kozlov, Spitzer '75] showed that

$$\begin{cases} \lim_{t \rightarrow \infty} \frac{X_t}{t} = \vartheta_{\mathbb{P}} > 0, & \text{if } \lambda > 1 \text{ (ballistic),} \\ \lim_{t \rightarrow \infty} \frac{\log(X_t)}{\log t} = \lambda, & \text{if } \lambda \in (0, 1] \text{ (subballistic).} \end{cases}$$

## Related results: many particles in homogenous environment

SSEP ( $\omega \equiv \frac{1}{2}$ ): [Aldous '83], [Wilson '04], [Lacoin '16]

$$t_{\text{mix}}^{N, k_N} \asymp (N^2 \log k_N) = O(t_{\text{mix}}^{N, 1} \log N).$$

ASEP ( $\omega \equiv p \neq \frac{1}{2}$ ): [Benjamini *et al.* '05], [Labbé, Lacoin '19]

$$t_{\text{mix}}^{N, k_N} \asymp N.$$

## Related result: one particle in random environment

When  $k = 1$ , [Gantert, Kochler '18] showed that if  $\mathbb{E}[\log \frac{1-\omega_1}{\omega_1}] < 0$ ,

$$\begin{cases} t_{\text{mix}}^{N,1,\omega}(\varepsilon) = [C(\mathbb{P}) + o(1)]N, & \text{if } \lambda_{\mathbb{P}} > 1, \\ \lim_{N \rightarrow \infty} \frac{\log t_{\text{mix}}^{N,1,\omega}}{\log N} = \frac{1}{\lambda_{\mathbb{P}}}, & \text{if } \lambda_{\mathbb{P}} \in (0, 1]. \end{cases}$$

Potential  $V^\omega : \mathbb{N} \rightarrow \mathbb{R}$

$$V^\omega(x) := \begin{cases} 0, & \text{for } x = 1, \\ \sum_{y=2}^x \log \left( \frac{1-\omega_y}{\omega_{y-1}} \right), & \text{for } x \geq 2. \end{cases}$$

The largest potential barrier:  $\max_{1 \leq x < y \leq N} V^\omega(y) - V^\omega(x) \sim (1/\lambda) \log N$ .

## Related result: many particles in random environment

Assuming  $\lambda > 1$  and  $\lim_{N \rightarrow \infty} k_N/N = \theta \in (0, 1)$ , [Schmid '19] showed:

- When  $\text{ess inf } \omega_1 > 1/2$ ,  $t_{\text{mix}}^{N, k_N, \omega} \asymp N$  by comparison.
- When  $\text{ess inf } \omega_1 < 1/2$ ,  $t_{\text{mix}}^{N, k_N, \omega} \geq N^{1+\delta}$  for some  $\delta > 0$ .
- When  $\text{ess inf } \omega_1 = 1/2$ , then

$$\liminf_{N \rightarrow \infty} t_{\text{mix}}^{N, k_N, \omega}(\varepsilon)/N = \infty \quad \text{and} \quad t_{\text{mix}}^{N, k_N, \omega}(\varepsilon) \leq CN(\log N)^3,$$

together with a quantitative lower bound if  $\mathbb{P}[\omega_1 = 1/2] > 0$ .

**Q:** If  $\text{ess inf } \omega_1 < 1/2$ , how does  $t_{\text{mix}}^{N, k_N, \omega}$  grow?

# Our result

## Theorem (Lacoin, Y. '21)

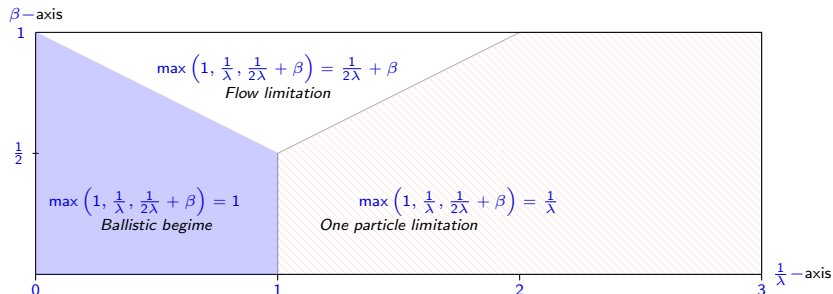
Assuming  $\mathbb{E}[\log \frac{1-\omega_1}{\omega_1}] < 0$ ,  $\text{ess inf } \omega_1 < \frac{1}{2}$ ,  $k = N^{\beta+o(1)}$  with  $\beta \in (0, 1]$  and the uniform ellipticity condition, with high probability we have

$$c(\alpha, \mathbb{P}) N^{\max(1, \frac{1}{\lambda}, \beta + \frac{1}{2\lambda}) + o(1)} \leq t_{\text{mix}}^{N, k, \omega} \leq N^{C(\alpha, \mathbb{P})}.$$

Conjecture: Our lower bound is sharp.

# Phase diagram

The exponent of the mixing time with  $k = N^{\beta+o(1)}$  particles



# Typical configurations in equilibrium

$\left\{ \begin{array}{l} \text{Every site of } [1, N - k - C] \text{ is vacant,} \\ \text{Every site of } [N - k + C, N] \text{ is occupied,} \end{array} \right.$  in equilibrium .

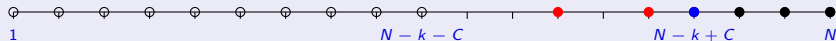


Figure:  $\circ$ : empty sites     $\bullet$   $\bullet$   $\bullet$ : particles



Figure: The minimal configuration  $\xi_{\min}$



# 1° Mass transport cannot be faster than ballistic

The lower bound:  $t_{\text{mix}}^{N,k,\omega} = \Omega(N)$ .

The time for  $(\eta_t^{\min})_{t \geq 0}$  starting with  $\xi_{\min} := \mathbf{1}_{\{1 \leq x \leq k\}}$  to reach equilibrium.

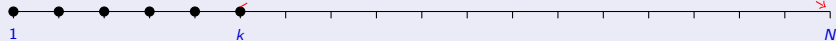
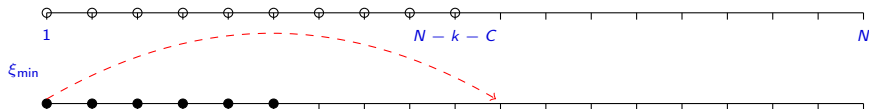


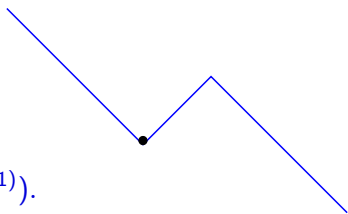
Figure: The configuration  $\xi_{\min}$ .

2° The leftmost particle is blocked by traps in the potential profile



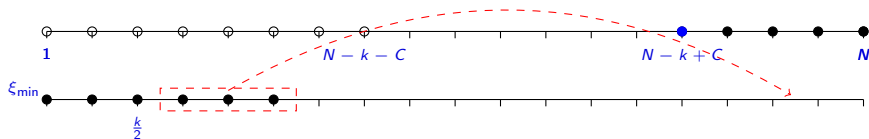
Since  $\text{ess inf } \omega_1 < 1/2$ ,  $V^\omega(x) = \sum_{y=2}^x \log \left( \frac{1-\omega_y}{\omega_{y-1}} \right)$  is non-monotone and

$$\max_{1 \leq x < y \leq N} V^\omega(y) - V^\omega(x) \sim (1/\lambda) \log N.$$

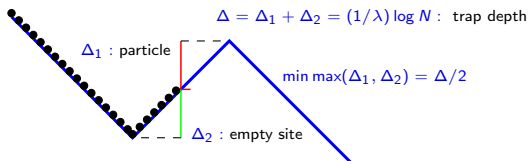


Then  $t_{\text{mix}}^{N,k} = \Omega(N^{\frac{1}{\lambda} + o(1)})$ .

### 3° Potential barrier creates bottleneck for the particle flow



The time for a particle to flow out of the trap is roughly  $N^{\frac{1}{2\lambda}}$ , and then  $t_{\text{mix}}^{N,k} = \Omega(N^{\beta + \frac{1}{2\lambda} + o(1)})$ .



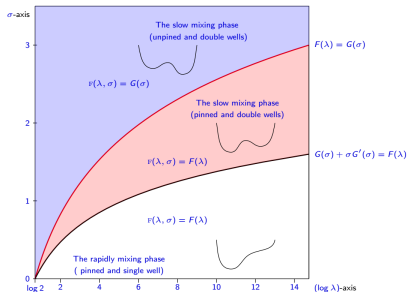
# Conclusion

## Mixing time for an interface model

- Cutoff  $\lambda \in [0, 1]$  and  $\sigma = 0$
- Partial Cutoff  $\lambda \in (1, 2)$  and  $\sigma = 0$

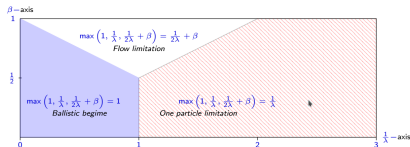
## Mixing time for ASEP in a random environment

Phase diagram (for  $\lambda > 2$  and  $\sigma \geq 0$ )



Phase diagram

The exponent of the mixing time with  $k = N^{\beta+o(1)}$  particles



# Thank you for your attention